

# TETRAHEDRON REPORT NUMBER 378

# THE $CH/\pi$ INTERACTION: SIGNIFICANCE IN MOLECULAR RECOGNITION\*

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## 1. INTRODUCTION

Evidence has accumulated that attractive interactions are present between C–H groups and  $\pi$ -electron systems.<sup>1,2</sup> A suggestion for the presence of such an interaction, the CH/ $\pi$  interaction, has

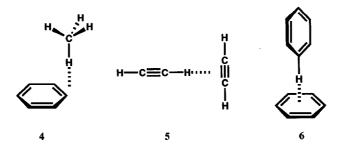
<sup>\*</sup> This paper is dedicated to Professor Sir D. H. R. Barton, the founder of concept of conformation, in this, his 77th year.

come from studies on conformational problems of a series of compounds bearing an aliphatic group on one side of the molecule and a phenyl group sited at the other terminus (1).

In almost every case studied,<sup>3</sup> the alkyl group has been shown to prefer synclinal orientations with respect to the phenyl group (1a and 1b).<sup>4</sup> The conclusion regarding the conformational preferences did not vary significantly with changing conditions of measurement.<sup>5</sup> Experimental methods included X-ray,<sup>6-8</sup> NMR,<sup>9-11</sup> IR,<sup>11,12</sup> circular dichroism,<sup>7</sup> and dipole moment measurements.<sup>13</sup> Comparison of the experimental results (consideration on lanthanide-induced NMR chemical shifts) for alcohols (1, X = CHOH, R = H and  $CH_3$ ) with those obtained from molecular mechanics calculations<sup>14</sup> indicated that an extra attractive interaction, different from the dispersion force, is present between alkyl and phenyl groups.<sup>15</sup> Suggestions have been made, based on these findings, that a variety of molecular phenomena, chemical as well as biochemical, are interpreted as consequences of this attractive force: the  $CH/\pi$  interaction hypothesis.<sup>16</sup>

NMR studies on other systems such as triptycene derivatives,  $^{17}$  **2**<sup>18</sup> and **3**<sup>19</sup> supported the above suggestion.  $^{20}$  CH/ $\pi$ -Interacted species, in every case, have been found to become more favourable as the  $\pi$ -electron density of the aromatic ring increased, as was expected.

Theoretical support for the presence of such an attractive interaction has come from a semi-empirical molecular orbital calculation on methane/benzene binary system (4),<sup>21</sup> ab initio calculations on acetylene dimer (5),<sup>22</sup> benzene dimer (6),<sup>23,24</sup> and the methane/ethylene supramolecular system (7).<sup>25</sup>



T- or L-Shaped geometry has been demonstrated to be most stable in all of the above calculations. In such an arrangement, one of the C-H bonds is located above the  $\pi$ -orbital to give a maximum overlap between the interacting atoms. Table 1 summarizes computational results reported by Takagi *et al.* for interactions of CH<sub>4</sub> with ethane, ethylene and acetylene.<sup>25</sup>

Table 1

Energy components (in kcal/mole) for the CH4 (A) plus  $C_2H_n$ (B: n = 2, 4, or 6) systems calculated by 4-31G basis set

В	ΔΕ	ΔESCF	ES	$CT_{B\rightarrow A}$	DISP
Н-С≡С-Н	-0.66	-0.47	-0.13	-0.52	-0.19
H <sub>2</sub> C=CH <sub>2</sub>	-0.88	-0.64	-0.14	-0.72	-0.24
СН3-СН3	-0.24	-0.05	-0.08	-0.08*	-0.19

ΔE: stabilization energy with reference to isolated A and B.

ES: Electrostatic energy, CT: charge transfer energy due to electron

migration from B to A, DISP: dispersion energy.

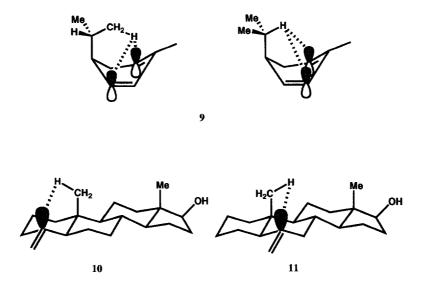
 $\Delta$ ESCF = ES + PL (polarization) + EX (exchange repulsion) + CT + MIX

(higher order interactions)

$$*CTB \rightarrow A + CTA \rightarrow B$$

As for the intramolecular system, positive overlap populations were found in conformers where  $CH/\pi$  interactions are stereochemically possible, by a 4-31G calculation of 1-phenyl-2-propanol (8).<sup>26</sup>

Unequivocal proof for the contribution of a charge-transfer interaction has come from considerations on optical rotatory strength of unsaturated compounds such as  $\alpha$ -phellandrene (9), exomethylene steroids 10 and 11 as well as the methane/ethylene supramolecular model system.



Thus 4-31G calculations on iPr quasi axial conformers of 9 gave positive bond populations between H and sp<sup>2</sup> carbons which occupy positions geometrically advantageous for  $CH/\pi$  interaction; examination of the MO functions showed the relevant H and Csp<sup>2</sup> orbitals to be in phase both in the HOMO and LUMO in most cases.<sup>27</sup> Rotatory strengths were calculated on the basis of Rosenfeld theory, using AM1 molecular orbitals; an appreciable enhancement in CD intensity was demonstrated to occur for axial conformers where  $CH/\pi$  interactions are stereochemically possible. Further, rotational strengths calculated for 4-methylene-5 $\alpha$ -androstane (10) and 6-methylene-5 $\alpha$ -androstane (11) were found to be much greater than those for the respective molecules lacking the 10-axial methyl group,<sup>28</sup> in agreement with observation.<sup>29,30</sup>

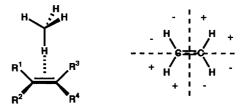


Figure 1. Optical rotatory strength of ethylene chromophore induced by a methane molecule placed at various position. Positive CD is induced when C-H is located in the region (+), and vice versa, thus giving rise to an octant centred at the midpoint of the C=C double bond.

In the case of the supramolecular system (Fig. 1), $^{28}$  the carbon atom of methane was fixed at points 3.6 or 3.8 Å above the plane of the ethylene ( $R^1 = R^2 = R^3 = R^4 = H$ ) and one of the C–H bonds was kept perpendicular to the molecular plane. The optical rotatory power of the system was then calculated according to the above method. Non-zero induced rotational strength has been obtained when the CH bond was located out of the symmetrical planes of the ethylene molecule. Since both components are achiral in the absence of interaction, the calculated rotational power of the  $\pi$ ,  $\pi$ \* transition should have originated from chiral distortion of the  $\pi$ -electron cloud of ethylene by a CH group of methane: an unequivocal demonstration for the presence of an orbital interaction between the molecules.

## 2. CHARACTERISTICS OF $CH/\pi$ INTERACTION

The properties of various types of hydrogen bonds are compared in Table 2.<sup>1</sup> Thus, the origin of this weak attractive force has been ascribed to a kind of hydrogen bond between a soft acid (CHs in an alkyl group) and a soft base ( $\pi$  system).<sup>33</sup>

Table 2

Type of H-bond	Energy of interaction				
Acid /Base	Delocalizative	Coulombic	Dispersive Re	pulsive v.d.W.	
$\text{CH}(\text{soft})/\pi(\text{soft})$	important	unimportant	important	similar	
$XH(hard)/\pi(soft)$	important	weak	important	similar	
CH(soft)/n(hard)	unimportant	important	unimportant	similar	
XH(hard)/n(hard)	variable	strong	unimportant	similar	

X = O or N n: lone pair

The  $CH/\pi$  interaction is characteristic of a relatively large contribution from delocalization (charge transfer from  $\pi$  to  $\sigma^*$ ) and dispersive interaction as compared to the normal H-bonding. A contribution from electrostatic interaction has been shown to be unimportant. A crucial point—unlike in the typical hydrogen bonding between a hard acid and a hard base—is that  $CH/\pi$  interaction can play its role in polar as well as in non-polar media; interactions of the above kind are hardly disturbed by the presence of water. This is important when considering molecular interactions in biological environments.

Enthalpy for a one unit CH/ $\pi$  interaction is small, around 1 kcal mol<sup>-1</sup>. This estimate has been made from the following considerations: (i) slope regarding a  $\Delta$ H versus  $\sigma$  plot for CH/ $\pi$  interaction in an IR experiment<sup>12b</sup> was found to be about one-half as compared to that of well-characterized OH/ $\pi$  interaction.<sup>34-37</sup> The enthalpy for the latter is known to be around 2 kcal mol<sup>-1</sup>. (ii) Computation on a model compound, 1-phenyl-2-propanol (8), gave rise to a bond population for the CH/ $\pi$  interaction about half of that calculated for the OH/ $\pi$  interaction.<sup>26</sup> (iii) *Ab initio* calculation (4-31G) of a methane/ethylene supramolecular system (Table 1), gave ca 0.9 kcal mol<sup>-1</sup> for a one unit CH/ $\pi$  interaction (total enthalpy; charge transfer from  $\pi$  to  $\sigma$ \* is ca 0.7 kcal mol<sup>-1</sup>).<sup>25</sup> One should keep in mind, however, that multiple CH groups can participate simultaneously in interactions with  $\pi$  groups (Fig. 2). The total enthalpy becomes sizeable, especially in interaction with compounds of higher molecular weight.

Groups which may be involved in  $CH/\pi$  interactions include methyl (Rln3 = 2.2 e.u.:  $T\Delta S = 0.65$  kcal mol<sup>-1</sup> at 300 K), isopropyl, long-chain alkyl groups, or CHs in an aromatic ring for the CH part. Unsaturated bonds (isolated or conjugated), aromatic groups such as those in amino acids (Phe, Tyr, Trp, His), nucleic acid bases, flavin, porphyrins, etc. make up the  $\pi$  part. This kind of interaction is entropically advantageous in that the chance for interaction is increased by organizing CHs and/or  $\pi$  groups into a discrete (often symmetric) chemical structure. This is important in understanding the behaviour of dynamically interacting molecular systems. The entropy effect, however, is not included generally in theoretical (MO or MM) calculations nor is it directly reflected in crystallographic results. The specificity of an interaction (in specific binding or selective reaction) is determined by the difference in free energy ( $\Delta G^0$  or  $\Delta \Delta G^{\neq}$ ) of the two competing states (ground or transition state: Fig. 3). In view of this, it is pertinent to point out that a difference in free energy of ca 4 kcal mol<sup>-1</sup> is sufficient for bringing about a 1000: 1 specificity. A contribution from the entropic term (or chance effect) would be appreciable.

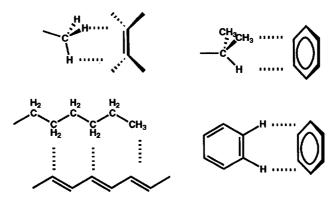


Figure 2. Schematic illustration showing characteristics of the  $CH/\pi$  interaction: multiple H atoms can interact simultaneously with multiple sp<sup>2</sup> atoms; the chance of participating in an interaction increases because of the symmetry of the groups.

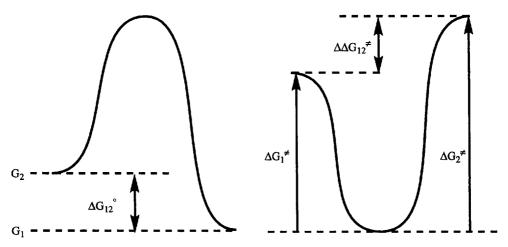


Figure 3. Energy profiles for a specific binding and a selective reaction. Difference of ca 4 kcal mol<sup>-1</sup> in  $\Delta G_{12}^0$  ( $G_2$ - $G_1$ ) or  $\Delta \Delta G_{12}^{**}$  ( $\Delta G_2^{**}$ - $\Delta G_1^{**}$ ) is sufficient to bring about a 1000 to 1 selectivity.

Discussion in the following sections will show the possibilities of the attractive force of such a nature in specific interactions in chemistry and biochemistry.

## 3. INTRAMOLECULAR INTERACTION

Intramolecular interactions where the CH/ $\pi$  interaction plays a role include problems of conformation. In fact many X-ray crystallographic data indicate the occurrence of a CH/ $\pi$  interaction. The following are a few examples. Short atomic contacts were observed for (SR)/(RS) and (SS)/(RR)-1-(p-bromophenyl)ethyl t-butyl sulphoxides (distance about the relevant C/Csp<sup>2</sup>: 3.32 and 3.33 Å, respectively), 2-(p-bromophenyl)-2,4,4,6-tetramethyl-1,3-dioxan (3.2 Å),<sup>39</sup> bis(2,4,6-tributylphenyl)phosphinic chloride (3.3 Å),<sup>40</sup> levopimaric acid (2.53 Å: C<sup>17</sup>H/C<sup>8</sup>, steroid numbering),<sup>41</sup> lumisterol (2.74 Å: C<sup>18</sup>H/C<sup>8</sup>),<sup>42</sup> pyrocalciferol (2.75 Å: C<sup>18</sup>H/C<sup>8</sup>),<sup>43</sup> and isopyrocalciferol (2.57 Å: C<sup>18</sup>H/C<sup>8</sup>).<sup>44,45</sup> The distances calculated by assuming van der Waals contact are ca 3.7 Å (2.0 Å for CH<sub>3</sub> and 1.7 Å for Csp<sup>2</sup>) and 2.9 ~ 3.1 Å (1.2 ~ 1.4 Å for H and 1.7 Å for Csp<sup>2</sup>), respectively, for C/Csp<sup>2</sup> and H/Csp<sup>2</sup>. The conformational preference of fluorene derivatives reported by Nakamura  $et\ al.^{46}$  is consistent with the presence of CH/ $\pi$  interaction. Methyl/phenyl short contacts were reported for a pair of conformational isomers of a 1-benzazocinone derivative and the results

were discussed in terms of the  $CH/\pi$  interaction.<sup>47</sup> Conformations of oligomeric flavanoids,<sup>48</sup> 1,6-disubstituted cyclooctatetraenes<sup>49,50</sup> and a layered superstructure of arrayed [2]pseudorotaxanes<sup>51</sup> were studied and the results were interpreted as supporting an attractive interaction between relevant groups.

Circular dichroism of certain compounds such as unsaturated terpenes<sup>52-54</sup> or steroidal ketones (for spectra at ca 200 nm)<sup>55</sup> show significant enhancement when an axial alkyl group is present close to the double bond. The "unusual" phenomena have been interpreted successfully on the basis of the CH/ $\pi$  interaction, or through-space hyperconjugation of the CH group with Csp<sup>2,56,57</sup> Intramolecular interactions also include the problems of selectivity in diastereoface-differentiating reactions such as Prelog's system.<sup>58</sup> The extent of asymmetric synthesis has been shown to be greater for benzoyl formate (bearing a phenyl group) esters than for pyruvate (Me instead of Ph) esters. In remote functionalization reactions reported by Breslow *et al.*, sizeable selectivities were brought about in every case, when an aromatic group was incorporated with the reacting molecule.<sup>59</sup> Optical activation of aldehydes via the enamine with (*S*)-2-isopropyl-1-methylpiperazine was studied.<sup>60</sup> The result was interpreted as a CH/ $\pi$  (favourable isopropyl/phenyl) interaction in the enamine intermediate. Stereoselective formation of a variety of coordination complexes<sup>61</sup> was reported, where the contribution of the CH/ $\pi$  interaction has been demonstrated.

R = p-X-C<sub>6</sub>H<sub>4</sub>, C<sub>8</sub>H<sub>7</sub>, Alkyl  

$$X = CH_3$$
, H, Br  
 $M = CO$ , Cr

Thus Okawa et al.  $^{62}$  studied the selective formation of a series of coordination compounds such as 12 (R = phenyl or naphthyl) and found that stereoisomers (fac  $\Delta$ ) in which the menthyl group is face-to-face interacted to the aromatic ring were produced preferentially. The proportion of the predominant isomer increased if an electron-donating group was introduced in the aromatic ring; the reverse was true for an electron-withdrawing substituent. Such remarkable stereoselectivity was not observed with compounds bearing a non-aromatic group as R. Sigel et al.  $^{63}$  observed favourable alkyl/aromatic interactions in conformations of a number of ternary coordination complexes such as 13. The results were discussed in connection with the structure and function of metal enzymes.  $^{64,65}$ 

$$\begin{array}{ccc}
& & & & & & & \\
& & & & & & \\
N_{\bullet} & & & \\
N_{\bullet} & & & & \\
N_{\bullet}$$

13

Onaka et al. <sup>66</sup> reported short atomic contacts (H/Csp<sup>2</sup>: 3.06 and 2.75 Å) in their crystallographic structures of  $[\eta^5\text{-CH}_3\text{C}_5\text{H}_4)\text{Mn(CO)}(1,1'\text{-bis(diphenylphosphino)ferrocene)}]$ . Jitsukawa et al. <sup>67</sup> studied the crystallographic structure of bis-(N-pyridoxy-L-phenylalaninato)cobalt complex and found that a methyl group is in proximity to the pyridoxy pyridine ring (C/Csp<sup>2</sup>: 3.56 Å). This was argued

as evidence for a  $CH/\pi$  interaction and may be relevant for the geometry of the binding site of an enzyme, aspartate aminotransferase. <sup>68</sup> The finding recently reported by Nakamura and Nakamura is interesting enough to merit special comment.

$$R_{1} \xrightarrow{N_{1} \\ R_{2}} \xrightarrow{N_{1} \\ R_{2}} \cdots \xrightarrow{N_{1} \\ R_{2}} R_{2} \xrightarrow{R_{2} \\ R_{1} \cdots R_{1}}$$

$$R_{1} \xrightarrow{R_{2} \\ R_{1} = Me, Et, iPr \\ R_{2} = H \text{ or } Me}$$

They studied the thermodynamics for the formation of low spin tetrakis(2,4,6-trialkylphenyl)porphyrinato-iron(III) (14). In contrast to the results obtained with lower alkyl analogues ( $R_1 = H$ , Me), triethylphenyl and triisopropylphenyl derivatives ( $R_1 = Et$ , iPr) showed a larger negative enthalpy of formation with 1,2-dimethylimidazole ( $R_2 = Me$ ) than with 1-methylimidazole ( $R_2 = H$ ) as the axially coordinated ligand. The attractive energy calculated for 14 ( $R_1 = iPr$ ,  $R_2 = Me$ ) was 7.8 kcal mol<sup>-1</sup> greater than that of a lower analogue<sup>70</sup> (14,  $R_1 = H$ ,  $R_2 = Me$ ). The results demonstrate unequivocally the occurrence of an attractive interaction of the alkyl group with the imidazole moiety, most probably with its  $\pi$ -electron system.

Further, Dauben has recently commented upon the possibilities of involvement of the  $CH/\pi$  interaction in photochemistry.<sup>71</sup>

### 4. $CH/\pi$ INTERACTIONS IN MOLECULAR RECOGNITION

Intermolecular interactions, or molecular recognition, where the  $CH/\pi$  interaction plays a role includes selectivity of organic reactions, specificities in solution<sup>72</sup> or surface phenomena such as chromatographic properties,<sup>73,74</sup> problems of electron transfer, properties of solid materials such as graphite and fullerene,<sup>75</sup> as well as substrate specificity of biologically important macromolecules.<sup>76</sup> This latter possibility was discussed briefly in our earlier papers<sup>77</sup> for several enzymes, immunoglobulins and haemoglobin. Also interesting in view of intermolecular interactions are problems of specificity in inclusion phenomena using cyclodextrins and synthetic macrocycles such as cyclophanes, calixarenes, etc. Here we explore the involvement of the  $CH/\pi$  interaction in the light of data available for several organic reactions, crystallographic structures of inclusion compounds and protein/specific ligand complexes.

## 4.1. Selectivity in organic reactions

4.1.1. Enantioface-differentiating reactions. Data listed in Tables 3-1 and 3-2 were extracted from the work of Mosher et al. 78 They studied the enantioface-differentiating reaction of ketones  $R_sCOR_L$  with a chiral Grignard reagent prepared from (+)-1-chloro-2-methylbutane. Table 3-2 gives the results obtained by reduction of alkyl phenyl ketones (15) with a Grignard reagent from (+)-1-chloro-2-phenylbutane (16). These chiral reductions gave rise to preferential formation of alcohols having the (S)-configuration, with a few exceptions for ketones bearing a t-butyl group.

Most remarkable of all is the difference in the optical yields listed in the two Tables. The extent of asymmetric synthesis is much greater in cases where a phenyl group is incorporated in both the

Table 3-1

Optical Yields [%ee] of the Reduction of Ketones with Grignard Reagent from (+)-1-chloro-2-methylbutane

RS/RL	tBu	cHex	Ph
Me	13	4	4
Et	11	9	6
iBu	6	16	10
iPr	0	2	24
tBu		2*	16

<sup>\*(</sup>R)-enantiomer was obtained in excess

Optical Yields [%ee] of the Reduction of Phenyl Alkyl Ketones (15) with
Grignard Reagent from (+)-1-chloro-2-phenylbutane (16)

Table 3-2

R/R'	Me	Et	iPr
Ме	38	47	-
Et	38	52	66
iBu	-	53	-
iPr	59	82	80
tBu	22*	16	91

<sup>\*(</sup>R)-enantiomer was obtained in excess

Table 4

Optical Yields (%ee in S-enantiomer) of Reduction of Alkyl Phenyl

Ketones with Chiral Grignard Reagents

R	X/Y	OCH3	Н	CF3
C2H5	OCH3	51	51	
C2H5	CH3	54	52	10
C2H5	Н	57	50	22
CH(CH <sub>3</sub> ) <sub>2</sub>	Н	84	81	58
C(CH3)3	Н	16	16	-27*
C2H5	Cl	36	43	
C2H5	CF3	22	22	10

<sup>\*</sup>R-enantiomer was obtained in excess.

ketone 15 and the Grignard reagent 16. This is understood if we assume an attractive interaction to play a role between the alkyl and the phenyl group at two points (Fig. 4). The attractive interaction is anticipated to increase with the number of CH groups suitably orientated with regard to the interaction versus Ph. This is what we see in Table 3-2. The isopropyl group was found to yield better results than isobutyl (Table 3-1, column 3; Table 3-2, column 2); this suggests that a face-to-face arrangement of the relevant groups (alkyl vs phenyl) is important for an effective  $CH/\pi$  interaction to take place.

Capillon and Guétté<sup>79</sup> studied the effect of substituents in an enantioface-differentiating reduction of phenyl alkyl ketones with chiral Grignard reagents. They found that the optical yield (giving rise to preferential formation of the S-enantiomer) decreased by introduction of an electron-withdrawing group on the aromatic ring of ketones or Grignard reagents (Table 4).

This is reasonable because the  $CH/\pi$  interaction will decrease on replacement of the substituent H by  $CF_3$  or Cl (Fig. 5). The inverse will be true for compounds with an electron-donating

Figure 5.

substituent; this, however, is not very clear in Table 4. Cherest and Prudent studied the stereochemistry in hydride reduction of a series of ketones L-CHMe-CO-R (L = Ph and cyclohexyl; R = Me, Et, iPr and tBu); the results were consistent with the presence of methyl/phenyl attractive interaction in the transition state which leads to the preferred product.<sup>80</sup>

4.1.2. Coupling reactions. Kobuke et al. studied the stereochemistry of Diels-Alder reactions of cyclopentadiene with a series of dienophiles,  $CH_2 = C(CH_3)X$  (Fig. 6).81

 $X = CN, COCH_3, COOCH_3, CHO, COOH$ 

Figure 6.

They found an appreciable *endo*-orientating tendency for the methyl group as opposed to polar unsaturated groups, X. The result was attributed to the presence of the attractive force of the methyl group which orientates itself to stabilize the transition state leading to the *endo* product.

Closs and Moss<sup>82</sup> studied the effect of alkyl substitution on the stereochemical outcome in an addition reaction of aryl carbenes to a series of olefins,  $CH_2 = CHR$  (Fig. 7). The *syn/anti* preference in the cyclopropane formation was shown to decrease as R became larger; from methyl (R = Me, 3.1), to ethyl (2.1), isopropyl (1.4), and to *t*-butyl (0.45).

Our interpretation is that the number of CH bonds involved effectively in the  $CH/\pi$  interaction (in stabilizing the transition state leading to the formation of syn-isomer) decreases upon successive methylation of R ( $CH_3$  to  $CH_2Me$ , to  $CH_2Me$ , and to  $CMe_3$ ). In support of this hypothesis, introduction of a second methyl group on the olefin (isobutene) resulted in a significant increase in the reaction rate. An increase in the syn/anti product ratio was also noted by substituting X from H to Me and then to MeO. This is reasonable in view of the presence of the  $CH/\pi$  interaction.

Figure 7.

Endo et al.<sup>84</sup> studied an oxidative coupling reaction of a pair of thiols 17 and 18 to disulphides 19-21. The ratio  $(r = 2 \times [20]/[19])$  of the resulting disulphides, unsymmetrical disulphide 20 over a

symmetrical one 19 or 21, represents the extent of molecular recognition of the interacting species.

The recognition has been found to be most specific when an isopentyl group was introduced as the alkyl moiety (R) in 18. Such a remarkable dependence of the selectivity on the structure of R was not observed when a series of straight-chain alkyl groups was used. In the case of isopentyl, r was reported to be 21.2, but it decreased on replacing R from  $iC_5H_{11}$  by a smaller alkyl group ( $iC_3H_7$  or  $iC_4H_9$ ), or a longer one ( $iC_6H_{13}$  or  $iC_7H_{15}$ ).

Inspection of CPK molecular models suggests that the complex (associated with the use of two NH/O hydrogen bonds) of the reacting species is stabilized by a CH/ $\pi$  interaction in the case of 17/18 (heterogeneous complex) as compared to the cases of 17/17 or 18/18 (homogeneous complex). Interaction 17/18 would be most effective if 18 bears a branched alkyl group with an appropriate length; a face-to-face arrangement of the relevant groups seems to be essential for an effective CH/ $\pi$  interaction to take place.

4.1.3. Enzyme mimetic catalytic reactions. Guthrie et al. studied a base catalysed  $\beta$ -elimination reaction<sup>85</sup> and an ester hydrolysis<sup>86</sup> with an enzyme model (Fig. 8).

$$H_3N^+$$
 $H_3N^+$ 
 $H$ 

Figure 8.

The rates of the catalytic reactions were found to be enhanced regularly on replacement of the phenyl in the substrate by the naphthyl and then by the phenanthryl group. The surface area of the  $\pi$ -plane becomes larger in this order. The results were interpreted as a consequence of the "hydrophobic" effect.<sup>87</sup> We think it appropriate to reconsider this in the light of the CH/ $\pi$  interaction hypothesis.

# 4.2. Inclusion complexes<sup>88</sup>

4.2.1. Cyclodextrin complexes. The inner surface of the cavity of cyclodextrins is lined with many hydrogens ( $C^3H$ ,  $C^5H$  and  $C^6H$  of glucose) and is therefore capable of forming a  $CH/\pi$  interacted complex with aromatic guests. Harata studied the thermodynamics of complex formation of cyclodextrins and their derivatives with substituted benzenes in aqueous solution. Negative values were obtained for  $\Delta H$  and  $\Delta S$ . The results were interpreted to indicate that complex formation is due to tight binding of the guests within the cavity of the host molecule, in other words, the driving force of the complex formation is enthalpic in origin.

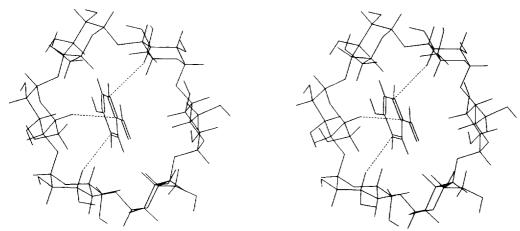


Figure 9. Stereo view showing short atomic contacts in α-cyclodextrin/p-nitrophenol complex (hydrogen atoms were generated). Dotted lines indicate CH/C(aromatic) contacts shorter than 3.0 Å.

Short CH(cyclodextrin)/C(aromatic guests) distances were in fact found in X-ray crystallographic data of cyclodextrin complexes reported by Harata *et al.*<sup>90</sup> In Figure 9 an example is given for the  $\alpha$ -cyclodextrin/p-nitrophenol complex. CH/Csp² distances, 2.96, 3.00 and 2.85 Å, are shown between CHs in the glucose moiety and aromatic carbons. Favourable CH/C(phenyl) interactions were found also in *m*-nitroaniline (2.90 and 2.92 Å)<sup>93</sup> and 1-phenylethanol complexes of  $\alpha$ -cyclodextrin, a complex of trimethyl- $\beta$ -cyclodextrin with p-iodophenol, complexes with aromatic guests (p-iodoaniline, benzaldehyde, p-nitrophenol and flurbiprofen) of  $\alpha$ - and/or  $\beta$ -cyclodextrin and their permethylated derivatives, as well as for complexes of  $\beta$ -cyclodextrin with m-iodophenol, 4-biphenylacetic acid and 2-naphthoic acid. Favourable methyl/phenyl interactions in the crystal structure of a  $\beta$ -cyclodextrin complex with fenoprofen [2-(3-phenoxyphenyl) propionic acid] have been reported. The above results are in line with the findings of Armstrong et al. have been reported. The above results are in line with the findings of Armstrong et al. have been reported and cases where at least one aromatic moiety is present in the solute molecule.

4.2.2. Calix[4]arene complexes.<sup>101</sup> Andreetti et al. were the first to report the crystallographic structure of an inclusion complex of calixarene. Thus a methyl group in the guest toluene was shown to be surrounded by four phenyl rings of p-t-butyl-calix[4]arene (22: R = tBu), whereas the toluene aromatic ring is sandwiched by two t-butyl groups of the host.<sup>102</sup> The same type of inclusion complex was also reported for the tetramethoxy derivative of 22 (R = tBu): distances between relevant carbon atoms and the aromatic ring are 3.54 and 3.77 Å.<sup>103</sup> The problem was studied for a pyridine complex in view of involvement of the  $CH/\pi$  interaction.<sup>104</sup> Thus the experimental result has been

shown to be best reproduced in molecular mechanics calculations by introducing parameters for  $CH/\pi$  interaction in the force fields.

 $23 : R = CH_2CH_2SO_3Na$ 

Of particular interest, in this respect, are X-ray and thermochemical results reported by Perrin et al. for p-xylene complexes of p-isopropyl-calix[4]arene (22: R = iPr). The most stable 1:1 complex loses a xylene molecule on heating to give a 1:2 complex, and then rearranges finally to form an empty macrocycle. The perpendicular distances between a xylene methyl group and the nearest aromatic ring of the host are 3.65 Å for the 1:1 complex and 3.7 Å for the 1:2 complex. For the xylene-free compound, the methyl group in an isopropyl substituent lies inside the cavity towards the benzene ring (methyl/phenyl distances 3.51 and 3.54 Å). The substrate specificity for similar types of compounds (23) has been studied by Kobayashi et al.  $^{106}$ 

Table 5

Binding constants (K/mole) for the complexation of 23  $[R = (CH_2)_2SO_3N_a]$  with various guests in D<sub>2</sub>O at 25°

	N+H4	MeN+H3	Me2N+H	12 Me3N+H	Me4N+	Me <sub>3</sub> COH
X = H	1	1	3	30	160	4
$X = CH_3$					1500	19
X = OH					1800	24

Stability of the inclusion complex was found to increase with progressive methylation of the guest ammonium chloride (Table 5). That this is not merely a consequence of the bulk or electrostatic effect was shown, since replacement of X in the host from H by a more electron-donating group such as CH<sub>3</sub> or OH resulted in remarkable increases in the stability of the complexes. This is

consistent with our expectation that the extent of the  $CH/\pi$  interaction becomes greater if the  $\pi$ -electron density of the aromatic ring increases. Trimethyl ammonium chloride was shown to be more specific than t-butyl alcohol as a substrate. This is reasonable since the complexing ability of the guest will increase if the hydrogens in  $CH_3$  become more acidic. The above result, together with the findings reported by Andreetti et al. and Perrin et al., provide an unequivocal demonstration of the importance of  $CH/\pi$  interactions in determining specificities of molecular complexes.

Specific inclusions of a similar type were reported for 22 (R = tBu) with aromatic guests such as phenol, <sup>107</sup> anisole <sup>108</sup> and benzene. <sup>109</sup> Acetonitrile (CH<sub>3</sub>/phenyl 3.80 Å), <sup>110</sup> ethanol, <sup>111</sup> acetone, <sup>112</sup> methyl sulphate, <sup>113</sup> methylene chloride (CH<sub>2</sub>/phenyl 3.54 Å) <sup>114</sup> and 4-(dimethylamino)benzonitrile <sup>115</sup> also form inclusion compounds with calix[4]arenes. Methyl or methylene groups of the guests point to the cavity of the host molecule, the inner surface of which is lined with many  $\pi$ -electrons, thus demonstrating the importance of the CH/ $\pi$  interaction.

4.2.3. Other types of inclusion complexes. 116 Odashima et al. 117 studied the crystallographic structure of 1,6,20,25-tetraaza[6.1.6.1]paracyclophane with durene and found short atomic contacts between sp<sup>2</sup> carbons in the aromatic part of the host and a methyl group in the guest molecule. Kyuno et al. 118 studied the complexation of a zinc porphyrin compound. They found that secondary amines such as azetidine, pyrrolidine and diethylamine, which fit the cavity effectively, bind well to the porphyrin host. The results were interpreted in terms of attractive interligand interactions; an important contribution from the CH/ $\pi$  interaction has been suggested. Favourable methyl or methylene/aromatic ring interactions were also noted for a methyl ammonium complex with a speleand, 119 a xylene complex of 1',1"-dimethyl-dispiro[1,6,20,25-tetraoxa[6.1.6.1]paracyclophane-13,4': 32,4"-bispiperidine], 120 and methanol, ethanol and acetonitrile complexes of cavitands. 121 Methanol, ethanol and propanol were reported to form clathrates with 2-[o-(triphenylphosphoranylidenamino)benzyliden]amino-1-H-2,3-dihydroindazol-3-one. 122 Formation of a methanol clathrate with  $\alpha,\alpha,\alpha',\alpha'$ -tetraphenyl-1,3-dioxolane-4,5-dimethanol has been reported. 123

# 4.3. Protein/ligand complexes

We will now explore the involvement of the  $CH/\pi$  interaction in biological systems in the light of data presently available for proteins and protein/ligand complexes. A computer programme (CHPI) was written to examine interactions between X-H groups (X = C, N, O or S) and  $\pi$  systems. The method is shown in Fig. 10.

The  $\pi$ -system may be an aromatic group (five- or six-membered, or fused) or a double bond (C=C, C=N or C=O). The hydrogen may be a part of an alkyl group (CH<sub>3</sub>, CH<sub>2</sub> or CH), CH in an aromatic ring, N<sup>+</sup>H<sub>3</sub>, NH<sub>2</sub>, NH, OH or SH group. To participate in a XH/ $\pi$  interaction, a hydrogen is positioned above the  $\pi$  plane though not necessarily directly above the carbon atom (region 1 in Fig. 10).

In order to cover other possibilities (regions 2 and 3 in Fig. 10), several kinds of H/Xsp<sup>2</sup> distance and angle parameters were defined. Hydrogens were generated on non-hydrogen atoms and the positions optimized when possible.<sup>124</sup> For a histidine side-chain, a hydrogen atom was laid on N $\delta$ 1. The programme was thus run with crystallographic coordinates of proteins and protein/ligand complexes from the Brookhaven Protein Data Bank (PDB). Interactions of H versus the closest sp<sup>2</sup> atoms with correct angle parameters were collected.

There is a large body of literature reporting the structures and functions of proteins. The following are a few examples which were selected rather arbitrarily to obtain insight into our thesis: the  $CH/\pi$  interaction is playing a role generally in biopolymer interactions. The proteins reported here include the classic ones as well as those of current interest.

4.3.1. Haemoglobin (carp parvalbumin). The structure of haemoglobin was extensively studied by Perutz et al. 125,126 Table 6 is a sample output from the above programme (CHPI) for  $\alpha$  subunit of horse deoxyhaemoglobin 127 ( $\alpha\beta$  dimer, 2DHB, resolution 2.8 Å; PDB code and the resolutions are shown hereafter in the References). Figure 11 presents a global view of the  $\alpha$  subunit.

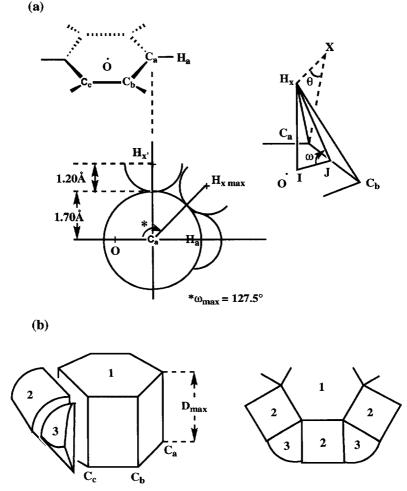


Figure 10. Method for finding XH/ $\pi$  contacts (X = C, N, O or S). An example is given for a six-membered  $\pi$ -system. (a) O: Centre of the plane.  $C_a$  and  $C_b$ : nearest and second nearest sp²-carbons, respectively, to the H $_{\chi}$  hydrogen.  $\omega$ : Dihedral angle defined by  $C_aOC_b$  and  $H_{\chi}C_aC_b$  planes.  $\theta$ :  $H_{\chi}$ -X- $C_a$  angle.  $D_{PLN}$ : perpendicular distance between H $_{\chi}$  and nearest  $\pi$ -plane (H $_{\chi}$ I).  $D_{ATM}$ :  $H_{\chi}/C_a$  interatomic distance.  $D_{LIN}$ : distance between H $_{\chi}$  and line  $C_a$ -C $_b$  (H $_{\chi}$ J). (b) Regions to be searched. Region 1: zone where H $_{\chi}$  is just above the ring. Regions 2 and 3: zones where H $_{\chi}$  is out of Region 1 but may interact with  $\pi$ -orbitals. Programme was run to search for short XH $_{\chi}/\pi$  contacts with the following conditions:  $D_{max}=3.05$  Å: (1.2 Å+1.7 Å)×1.05;  $D_{PLN} < D_{max}$  (Region 1);  $D_{LIN} < D_{max}$  (region 2);  $D_{ATM} < D_{max}$  (region 3);  $\omega_{max}=127.5^{\circ}$ ,  $-\omega_{max} < \omega < \omega_{max}$ ;  $\theta < 70^{\circ}$ .

Chart I shows H/Csp<sup>2</sup> distances ( $D_{ATM}$ ) demonstrated for (a) the  $\alpha$ -subunit, (b) the  $\alpha/\beta$ -interface, and (c) the  $\beta$ -subunit, respectively. Labels in parentheses correspond to stereochemical notation of globins. Greek letters following H and C (or N) indicate atoms relevant to the contact. In the above charts we see many  $CH/\pi$  contacts shorter than van der Waals distances. We do not discuss the interatomic distances in detail, however, in view of limitations to the precision in the crystallographic determination for proteins. Histidine behaves as an aromatic residue. This was expected, but more impressive is that the interactions of haem with aromatic residues are assumed by other interactions, thus forming  $CH/\pi$  networks. The haem group represents a large  $\pi$ -system and has been known to be surrounded by a number of non-polar residues. They are invariant and have important biological significance; substitution of one residue by another results in serious hereditary diseases due to decreased ability of the globin molecule to hold haem. 128

#### Table 6

```
RES I VPI
                  1
                        2
                             3
                                       5
                                            6
PRTN HIS 1 FIV
                  CG
                       ND1
                             CE1
                                       CD2
                                  NE2
PRTN PHE 1 SIX
                  CG
                        CD1
                             CE1
                                  CZ
                                       CE2
                                            CD2
PRTN TYR 1 SIX
                  CG
                        CD1
                             CE1
                                  CZ
                                       CE2
                                            CD2
PRTN TRP 1 FIV
                  CG
                        CD1
                             NE1
                                  CE2
                                       CD2
PRTN TRP 2 SIX
                  CE2
                        CD2
                             CE3
                                 CZ3
                                       CH2
                                            C7.2
RANGE
      -127.50
                 < OMEGA < 127.50
          0.00
RANGE
                 < THETA < 70.00
RANGE
          0.00
                 < Dmax <
                              3.05
рi
                         НΧ
                                           geometry
  ID
      RES VPI
               I N VATM
                           ID
                              RES VATM N DATM DPLN DLIN OMEGA THETA RG
      TRP FIV
A 14
               1 1 CG
                         A 70
                              VAL HCG2 15 2.48 2.26 2.46 113.44 13.81
A 14
      TRP SIX
               2 5 CH2
                       A 17
                              VAL HCG1 11 3.06 3.01 3.01 87.31 17.12
A 14
      TRP SIX
               2 6 CZ2
                       A 66
                              LEU HCB
                                         9 3.02 2.98 3.00 96.83 0.99
A 24
      TYR SIX
               1 2 CD1
                       A 17
                              VAL HCG1 10 2.57 2.06 2.56 126.47 31.39
                                                                         2
A 24
                                        9 2.93 2.93 2.93 90.47 35.78
      TYR SIX
               1 4 CZ
                        A 20
                              HIS HCB
                                                                         2
                              LEU HCD2 19 2.77 2.17 2.73 127.33 52.48
A 24
               1 2 CD1
                        A109
      TYR SIX
                                                                         2
A 24
      TYR SIX
               1 4 CZ
                        A112
                              HIS HCB
                                        9 2.92 2.83 2.88 100.74 52.46
                                                                         2
A 33
      PHE SIX
               1 3 CE1
                        A 32
                              MET HCE
                                       17 3.06 3.04 3.04 93.97 29.93
                                                                         2
A 33
      PHE SIX
               1 1 CG
                        A 33
                              PHE HN
                                        2 2.93 2.62 **** 116.49 68.41
                                                                         3
A 33
      PHE SIX
                       A 48
                              LEU HCD2 19 2.66 2.56 2.59 98.66 9.11
               1 6 CD2
                                                                         2
A 36
      PHE SIX
              1 3 CE1
                        A100
                              LEU HCB
                                        8 2.62 2.42 2.55 107.98 15.59
                                                                         2
A 36
      PHE SIX
               1 5 CE2
                        A100
                              LEU HCD2 19 2.90 2.78 2.85 77.13 13.27
A 42
      TYR SIX
               1 4 CZ
                        A 93
                                        4 2.63 2.41 2.55 109.09 22.55
                                                                         2
                              VAL HCA
A 42
      TYR SIX
               1 5 CE2
                        A 93
                               VAL HCG1 10 2.65 2.64 2.64 91.97 10.57
                                                                         2
A 42
                        A 93
      TYR SIX
               1 1 CG
                               VAL HCG2 16 2.84 2.77 2.77
                                                            89.00 6.05
                                                                         1
A 43
               1 2 CD1
                        A 33
      PHE SIX
                              PHE HCZ 20 2.54 2.40 2.44
                                                            78.73 42.63
                                                                         1
A 43
      PHE SIX
               1 4 CZ
                        X#LG1 LIG HC37 66 3.04 2.97 2.98 84.18 32.77
                                                                         1
A 45
      HIS FIV
               1 5 CD2
                        A 46 PHE HCD2 14 2.87 2.82 2.86 99.17 39.79
                                                                         2
A 46
      PHE SIX
               1 1 CG
                        A 43
                              PHE HCD2 14 2.69 2.59 2.66 77.21 26.21
                                                                         1
A 46
      PHE SIX
               1 3 CE1
                        A 43 PHE HCE2 18 2.79 2.64 2.72 103.85 44.84
A 58
     HIS FIV
               1 4 NE2
                        A 43 PHE HCZ 20 2.86 2.42 **** 122.39 31.94
A 58
     HIS FIV
               1 1 CG
                        A 46 PHE HCE1 16 2.75 2.20 **** 126.80 37.50
A 58
     HIS FIV
               1 2 ND1
                        A 46 PHE HCZ 20 2.97 2.49 2.93 121.83 29.46
               1 1 CG
                        A 24
A112
      HIS FIV
                              TYR HCE2 18 2.99 2.95 **** 99.52 59.08
                                                                         3
A117
      PHE SIX
               1 6 CD2
                        A110 ALA HCA
                                        4 2.96 2.84 2.92 103.58 31.62
                                                                         2
A117
      PHE SIX
               1 5 CE2
                        A122
                              HIS HCB
                                         9 2.56 2.51 2.52 95.47 24.85
                                                                         2
               1 5 CD2
A122
      HIS FIV
                        A106
                              LEU HCD1 13 2.60 2.20 2.59 121.91 56.60
                                                                         2
A128
      PHE SIX
               1 4 CZ
                        A 70
                              VAL HCG2 14 3.05 2.85 **** 110.50 23.96
                                                                         3
A128
     PHE SIX
               1 4 CZ
                        A132
                              VAL HCG2 15 3.12 3.03 3.06 83.08 50.86
A140 TYR SIX
               1 5 CE2
                        A 88
                              ALA HCA
                                        4 2.85 2.79 2.82 97.34 42.32
                                                                         2
X#LG1 LIG OL2
               1 2 C1
                        A 58
                              HIS HCE1 16 2.53 2.52 2.52 91.74 8.30
                                                                         2
               2 2 C6
                        A 58
X#LG1 LIG FIV
                              HIS HCE1 16 3.00 2.56 **** 121.31 22.16
                                                                         3
X#LG1 LIG FIV
               2 4 C8
                        A 83
                              LEU HCD1 14 2.35 1.97 **** 123.06 8.40
                                                                         3
X#LG1 LIG FIV
               2 5 C9
                              HIS HCE1 16 2.72 2.52 2.65 108.31 32.88
                        A 87
                                                                         2
X#LG1 LIG OL2
               3 2 C2
                              VAL HCG2 16 3.01 2.94 2.95 94.60 29.08
                        A 62
                                                                         2
X#LG1 LIG FIV
               4 5 C20
                        A 98
                              PHE HCE1 16 2.95 2.42 2.95 124.71 28.73
                                                                         2
X#LG1 LIG FIV
               4 3 C18
                        A136
                              LEU HCD1 14 2.87 2.66 2.87 112.12 52.36
                                                                         2
X#LG1 LIG OL2
               5 2 C3
                        A 98
                              PHE HCE1 16 2.75 2.37 2.73 119.82 49.85
X#LG1 LIG FIV
               6 4 C27
                        A 93 VAL HCG1 11 2.58 2.53 **** 101.24 10.79
X#LG1 LIG OL2
               7 2 C4
                        A 93 VAL HCG2 15 2.67 2.44 **** 114.05 14.97
X#LG1 LIG FIV
               8 5 C36
                        A 58 HIS HCE1 16 2.81 2.58 **** 113.33 29.07
X#LG1 LIG FIV
               8 5 C36
                        A 91 LEU HCD1 13 2.74 2.66 2.70 79.89 42.75
X#LG1 LIG FIV
              8 3 C34
                        A 91
                             LEU HCD2 19 2.83 2.39 2.80 121.35 31.35
                                                                         2
X#LG1 LIG OLE 10 1 C31 A 93 VAL HCG1 10 2.95 2.93 **** 96.17 61.59 X#LG1 LIG OLE 10 2 C30 A 93 VAL HCG1 11 2.53 2.35 2.51 111.03 33.44
                                                                         3
```

no.of H/pi interactions: 45



Figure 11. Stereo view of haemoglobin  $\alpha$ -subunit. Dotted lines indicate short CH/ $\pi$  contacts.

An interesting feature is that the geminal dimethyl groups in valine and leucine  $[C\gamma 1]$  and  $C\gamma 2$  in Val93 $\alpha$  (Val93 in  $\alpha$ -subunit) and Val67 $\beta$  (Val67 in  $\beta$ -subunit), C $\delta 1$  and C $\delta 2$  in Leu91 $\alpha$ ] are all involved in interaction with the porphyrin moiety. Within the globin molecules, we note the same type of interaction for Val93 $\alpha$ /Tyr42 $\alpha$ , Val137 $\beta$ /Phe71 $\beta$ , and Leu141 $\beta$ /Phe103 $\beta$ . At the boundary

## Numbering of atoms in haem and aromatic rings

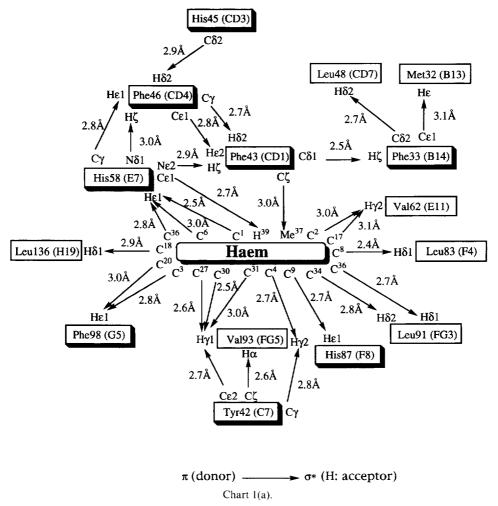


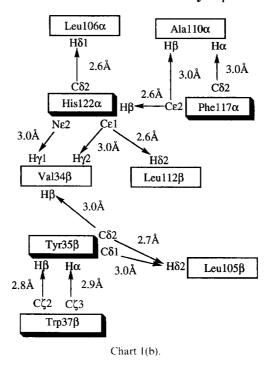
Chart 1.  $CH/\pi$  networks in (a) haemoglobin  $\alpha$ -subunit, (b) boundary of the subunits, and (c)  $\beta$ -subunit (PDB file 2DHB). Numbers (in Å) refer to  $CH/C_{sp}2$  distances ( $D_{ATM}$ ). Labels in parentheses are stereochemical notations for globins by Kendrew et~al. Greek letters indicate atoms relevant to the  $CH/\pi$  contact. Interatomic distances are shown for the closest ones among atoms in the respective aromatic ring.

of monomers  $\alpha$  and  $\beta$ , there is an interaction of this type for Val34 $\beta$ /His122 $\alpha$ . This kind of interaction (Fig. 12) is found also in other proteins (but not very often).

We think the above interactions involving geminal dimethyl side-chains versus aromatic rings

Figure 12. Geminal dimethyl  $CH/\pi$  interaction.

# Interactions at boundary $\alpha/\beta$

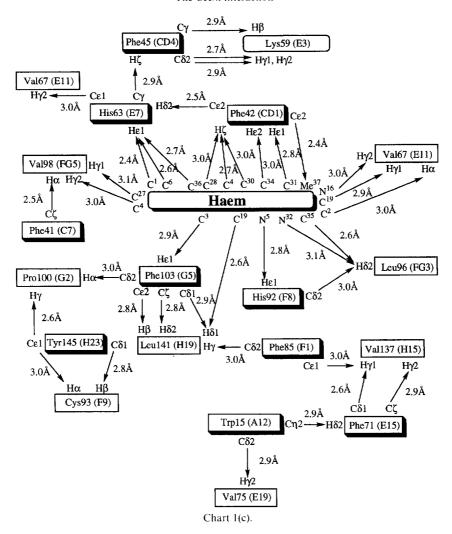


illustrate the importance of multiple  $CH/\pi$  interactions. This may explain why only residues bearing a branched alkyl group (valine, leucine and isoleucine) are found in nature whereas amino acids with a straight aliphatic side-chain are absent. Neither homoalanine 24, norvaline 25, nor-leucine 26, nor homonorleucine 27 are found in proteins (Fig. 13). A speculation is that amino acids with straight-chain aliphatic groups are less favourable in interactions of various sorts (e.g.  $CH/\pi$ , CH/n or van der Waals), as compared to branched ones, and thus dropped out in the process of natural selection.

The CH/ $\pi$  contacts of haem with aromatic residues (including His) are shown for Phe43, His58, His87 and Phe98 in  $\alpha$ -subunit, and for Phe42, His63, His92 and Phe103 in  $\beta$ -subunit. Phe43 $\alpha$  interacts with other aromatic residues (Phe33, Phe46, His58 and indirectly with His45), thus locating itself at a key position in the network. The invariance<sup>130</sup> and biological importance<sup>131,132</sup> of residues Phe43 $\alpha$  and Phe42 $\beta$  is well known. In addition to these, we see a number of CH/ $\pi$  contacts between aromatic rings. They are Tyr24 $\alpha$ /His112 $\alpha$ , Tyr24 $\alpha$ /His20 $\alpha$ , Tyr24 $\alpha$ /His112 $\alpha$ , Phe117 $\alpha$ /His122 $\alpha$ , Phe42 $\beta$ /His63 $\beta$ , His63 $\beta$ /Phe45 $\beta$ , Trp15 $\beta$ /Phe71 $\beta$ , Trp37 $\beta$ /Tyr35 $\beta$  and Phe122 $\beta$ /Tyr130 $\beta$ .

A specific attractive interaction between aromatic groups has been known to be quite general for smaller molecules in crystals<sup>133</sup> and in solution for a variety of synthetic compounds, <sup>134</sup> somatostatin derivatives, <sup>135</sup> flavinyl peptides, <sup>136</sup> nicotineamide-adenine dinucleotide and its analogues. <sup>137</sup> In particular, Sigel<sup>138</sup> and associates have studied extensively the conformational equilibria of a number of ternary complexes bearing biologically important moieties, such as ATP or amino acids, as ligands. <sup>139</sup> In every case, they found that the conformer in which aromatic moieties on both side of the molecules are close to each other is preferred. An example is given in Figure 14 for [M(phen) (ATP)] complex. Okawa *et al.* found short interatomic distances between aromatic carbons (3.44, 3.34, 3.29 Å) in a tetrahedral Zn complex of *N*-(*R*)-1-phenylethylsalicylideneimine. <sup>140</sup>

As to the interactions in proteins, Edmundson et al. pointed out the abundance of aromatic residues in the antigen binding pocket of immunoglobulins. 141 A calcium-binding myogen was found



to form a cluster consisting of many phenylalanines at the "hydrophobic" core of the protein.<sup>142</sup> This problem was studied later by examining statistically a large number of protein structures and it has been known that approximately T-shape (edge-to-face) or L-shape (cogwheel) arrangements of aromatic rings are dominant.<sup>143,144</sup> This type of interaction has been known to be stable in the crystallographic structure of benzene and in MO calculations. We therefore examined interactions in carp parvalbumin, which is known to have a non-polar core consisting of eight phenylalanines.<sup>145</sup> Chart 2 gives the results.

Eight phenylalanines and a histidine have in fact been shown to participate in a  $CH/\pi$  network. There we see a number of contacts shorter than the van der Waals distance. In the present survey only interactions with approximately T- or L-shape arrangements of the aromatic rings have been collected since the  $CH/\pi$  interaction was expected to occur only in such an arrangement (see Fig. 10). There is much debate as to the origin of the arene/arene attractive interaction. We think it most probable that aromatic/aromatic interactions found in proteins are  $CH/\pi$  in type (hereafter referred to as aromatic  $CH/\pi$  interactions).

4.3.2. Lysozyme. Chart 3 presents  $CH/\pi$  interactions which have been demonstrated for a hen egg-white lysozyme/substrate complex. A  $CH/\pi$  network is shown around the ligand, tri-N-acetylchitotriose (GlcNAc)<sub>3</sub>. Trp111 is found at the centre of a smaller network.

$$\begin{array}{c} \text{H}_{3}\text{C}\\ \text{CH-CH-COOH}\\ \text{H}_{3}\text{C}\\ \text{NH}_{2} \end{array}$$
 valine 
$$\begin{array}{c} \text{CH}_{3}(\text{CH}_{2})_{n} - \text{CH-COOH}\\ \text{NH}_{2} \end{array}$$
 
$$\begin{array}{c} \text{In} = 1:24 \text{ homoalanine}\\ \text{In} = 2:25 \text{ norvaline}\\ \text{In} = 3:26 \text{ norleucine}\\ \text{In} = 4:27 \text{ homonorleucine} \end{array}$$
 
$$\begin{array}{c} \text{CH}_{3}\text{CH}_{2}\\ \text{CH-CH-COOH}\\ \text{H}_{3}\text{C}\\ \text{NH}_{2} \end{array}$$
 isoleucine

Figure 13. Naturally occurring amino acids, and those absent in nature (24-27).

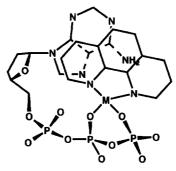
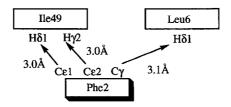


Figure 14. Preferred conformation for ternary complex  $[M(phen)(ATP)]^{2+}$ , M = Ca, Mg, Mn, Zn or Cu. ATP: adenosine triphosphate; phen: phenanthroline.

The pyranose rings (A, B and C) of the substrate were reported by Phillips  $et~al.^{150}$  to be proximate to Trp62 and Trp63. The methyl group in the terminal acetamide in ring C has also been known to be close to Trp108; this is compatible with observations that N-acetylation is essential for sugar oligomers to be a good substrate. Here, Ne1 in Trp108 has been shown to be in contact with a hydrogen in the acetyl group in ring (pyranose) C of the ligand. Col and Col in Trp62 are close to C<sup>4</sup>H (ring C) and Col H (ring B), respectively. Col Trp63 is close to CH20H (ring B). Interactions of the ligand with Trp108, Trp62 and Trp63 are shown to be assisted by a CH/ $\pi$  network involving Leu56, Trp28, Leu17, Arg61, Leu75 and Ile98. Of particular interest, in this respect, are recent results reported by Muraki et~al. They showed that the residue in position 63 (Trp in avian and Tyr in human lysozyme) must be an aromatic one, from a study with enzymes prepared by site-directed mutagenesis. Enzymatic activities and crystallographic structures were compared for Y63F (Tyr63 was converted to Phe. Y: tyrosine, P: phenylalanine), Y63W (W: tryptophane), Y63L (L: leucine), Y63A (A: alanine) with native human lysozyme. Properties of Y63F and Y63W were found to be comparable to those of the wild enzyme whereas those of Y63L and Y63A are not.



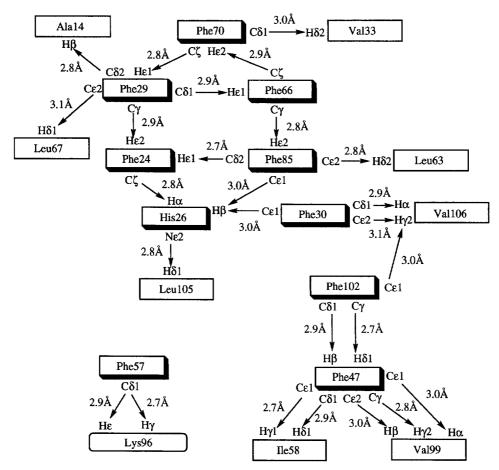
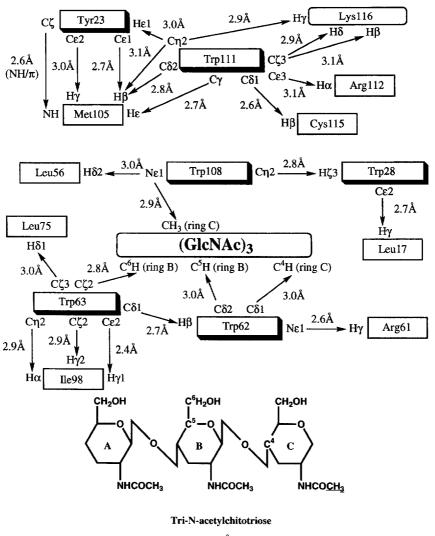


Chart 2.  $CH/\pi$  networks in carp parvalbumin (5CPV).

Somewhat unexpected but very interesting are the interactions observed between lysines and the aromatic residues: Lys1/Phe3, Lys33/Phe38, Lys96/Tyr20 and Lys116/Trp111. In every case multiple pairs of atoms are involved in the interaction. Lysine is classified generally as a basic amino acid and thus considered to be responsible for the formation of salt-bridges or hydrogen-bonds. We suggest that lysine plays a role by  $CH/\pi$  interaction with aromatic residues to stabilize the structure of proteins, in cooperation with stronger bonding forces.

Four methylenes are present in the lysine side-chain between  $C\alpha$  and the terminal amino group. As shown here and elsewhere, XHs in CH<sub>2</sub> and NH<sub>2</sub> are involved simultaneously in multiple XH/ $\pi$  bonds, thus providing an environment for dynamic interactions with specific substrates. This type of interaction (Fig. 15, hereafter referred to as the lysine CH/ $\pi$  interaction)<sup>153</sup> is found often in other



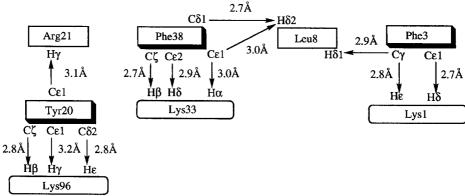


Chart 3. CH/ $\pi$  interactions in the lysozyme/tri-N-acetylchitotriose complex (1HEW).

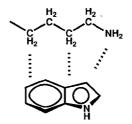


Figure 15. Lysine CH/ $\pi$  interaction.

proteins. The CH/ $\pi$  network, incorporating geminal dimethyl, aromatic CH/ $\pi$ , as well as lysine CH/ $\pi$  interaction support our thesis that multi-point (as well as multi-chance) interaction is essential in building a stable and flexible structure of a macromolecule.

4.3.3. D-Xylose isomerase (c-H-ras p21 protein, v-src SH2 domain). Xylose isomerase catalyses the isomerization of D-xylose and the conversion of D-glucose to fructose. D-Sorbitol is a specific inhibitor, since the molecule closely resembles an open-chain configuration involved in the transition state of the reaction. Crystallographic structures of complexes of this protein with specific substrates and inhibitors were studied. Chart 4 summarizes some of the  $CH/\pi$  networks revealed for a protein/sorbitol complex.

It was reported that the sorbitol molecule takes up a linear arrangement of atoms  $C^1$ - $C^2$ - $C^3$ - $C^4$ - $C^5$  and the indolyl ring of Trp136 is lined with a consecutive arrangement of CH groups of the inhibitor. The temperature factors for the inhibitor are low, suggesting that the interaction involved is strong. Short  $CH/\pi$  contacts have in fact been shown for Trp136 with the ligand  $(C^4H/C\gamma, C^2H/C\delta 2)$  and  $C^1H/C\eta 2$ . These interactions are arranged in a  $CH/\pi$  network. However, only one  $CH/\pi$  interaction has been found between Trp136 of the protein complexed with a cyclic sugar substrate. Short CH/ $\pi$  interaction in stabilizing the transition state is evident.

Protein/carbohydrate interactions were studied extensively by Quiocho *et al.*<sup>156</sup> They reported crystallographic structures of periplasmic proteins of bacterial origin such as L-arabinose-binding protein, <sup>157</sup> D-galactose-binding protein (GBP), <sup>158</sup> D-maltose-binding protein, <sup>159</sup> and complexes with their specific substrates. For instance, D-glucose in a GBP/glucose complex was found to be sandwiched by aromatic residues; stacking of "hydrophobic" patches with C³H, C⁵H and C⁶H of glucose by Trp183 and C²H, C⁴H by Phe16 was reported. <sup>160</sup> A programme search by CHPI with GBP/glucose complex <sup>161</sup> has shown Cε3, Cε2 of Trp183 and Cδ2 of Phe16 to be in CH/ $\pi$  contact with C³H, C⁵H and C²H of the ligand, respectively. It is clear that axially orientated methine CH bonds in saccharides can participate simultaneously in interactions with sp² carbons of the aromatic rings.

The lysine  $CH/\pi$  interaction has been shown in xylose isomerase for Lys41/Trp305, Lys182/His219 and Lys294/Trp19. Note that the aromatic rings have contacts with several methylene hydrogens in the lysine side-chain (Chart 4). Lys182, Lys294 and His219 are invariant within five species examined. Trp19 (WWWA: W = tryptophane, A = alanine), Trp305 (WWWYY: Y = tyrosine) and Lys41 (KKRAA: K = lysine, R = arginine), are fairly well conserved.

Crystallographic structures of c-Harvey-*ras* p21 protein complexes were reported recently.  $^{163}$   $^{165}$  There are two lysine CH/ $\pi$  interactions around its specific ligand, GppNp, a GTP analogue. It is evident that the side-chains in Lys117 and Lys147 play a role, by the lysine CH/ $\pi$  interaction, in stabilizing the bonding with the guanine moiety (Fig. 16 and Chart 5).

These residues are conserved within many guanine nucleotide-binding proteins of various sources.  $^{166,167}$  The guanine aromatic ring is in CH/ $\pi$  contact with Phe28 (aromatic CH/ $\pi$  interaction) and this is assisted by interactions with Lys147 and Asp30.

Of special interest, in this connection, is the crystal structure of the phosphotyrosine recognition domain SH2 of v-src complexed with ligands. <sup>168</sup> The carbon atoms of the Lys203 chain were reported

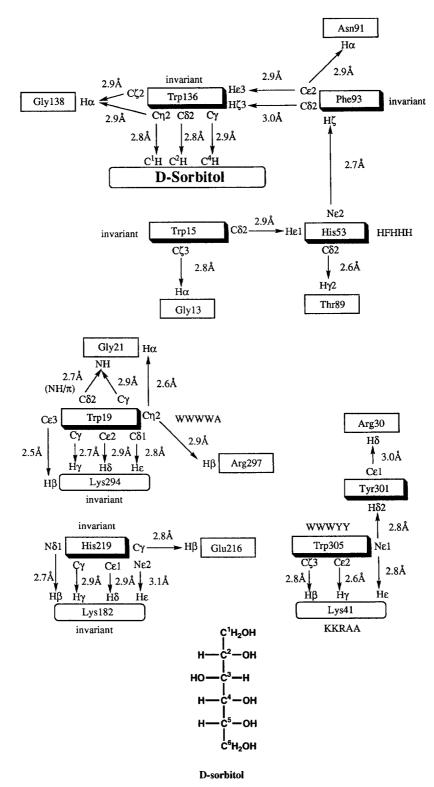


Chart 4. Parts of CH/π interactions in xylose isomerase/p-sorbitol complex (4XIA). F: phenylalanine, Y: tyrosine, H: histidine, A: alanine, W: tryptophane, K: lysine, R: arginine.

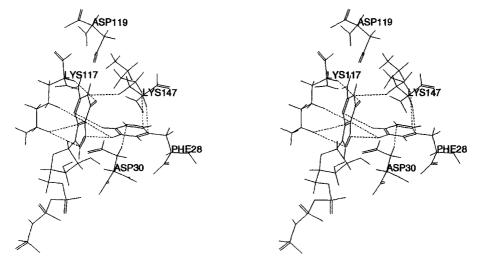


Figure 16. Stereo view of the guanine-binding region of ras p21 protein/GppNp complex. Side-chains in Phe28, Lys117 and Lys147 play a cooperative role in stabilizing the binding.

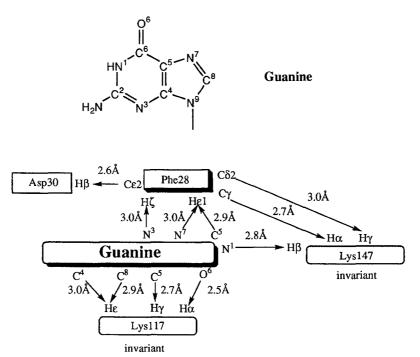


Chart 5. CH/π network around the ligand in c-H-ras protein p21/GppNp complex (5P21). GppNp: a GTP analogue.

to form a "hydrophobic" platform for the aromatic ring of the substrate phosphorylated tyrosine peptide (Tyr-Val-Pro-Met-Leu) and the amino group in the lysine residue is involved in a so-called amino/aromatic ring interaction. One of the amino terminal nitrogens in Arg155 was reported to be 3.1 Å above the centre of the aromatic ring. We examined these points by the programme CHPI.

The results are shown in Figure 17 and Chart 6. It is clear that the CHs in Lys203 participate in  $CH/\pi$  interactions with the aromatic carbons of the tyrosine, whereas the amino NH was found to be somewhat more remote. An amino group in the Arg155 side-chain interacts with the aromatic

ring  $(NH/\pi)$  interaction) of the phosphorylated tyrosine. The valine side-chain in the ligand is involved in  $CH/\pi$  interactions with Tyr202 (Fig. 17).

4.3.4. FK506-Binding protein.<sup>170</sup> Schreiber et al. reported the crystallographic structure of a complex of FK506-binding protein (FKBP: an immunophilin) with FK506 (28, an immuosuppressant). The ligand was found to interact with a number of aromatic residues (Tyr26, Phe36, Phe46, Phe48, Trp59, His87, Tyr82 and Phe99).<sup>171</sup>

A CH/ $\pi$  network including the above residues (except Tyr82 which may have an OH/ $\pi$  contact with the ligand) has been shown around **28** (Chart 7, Fig. 18). Trp59 interacts with three methylene hydrogens of the pipecolinyl ring. His87 is in contact with a methylene hydrogen of the pyranose ring. Short C/O contacts were pointed out to be present between FKBP (Phe99, Phe36) and carbonyl oxygens of the  $\alpha$ -diketone in **28**. H $\epsilon$ 2 of Phe36 (to  $\Omega = C^9$ ,  $\omega$  105°,  $\theta$  18°; see Fig 10 and Chart 7) and H $\zeta$  of Phe99 (to  $\Omega = C^8$ ,  $\omega$  106°,  $\theta$  21°) have indeed been found to be proximate to the carbonyl oxygens. These aromatic hydrogens position themselves somewhere above the respective C=O plane. Thus at present it is not clear whether the interactions are CH/ $\pi$  or CH/n (n: lone pair) in type. 172

4.3.5. Immunoglobulin McPC603 (acetylcholine esterase). A mouse myeloma immunoglobulin fragment, Fab McPC603, has long been known to have a specific affinity with phosphorylcholine. For apparently obvious reason, the specificity of this protein was attributed to electrostatic interaction between positive versus negative charges in the ligand and globulin molecules. <sup>173</sup> Dougherty and Stauffer <sup>174</sup> recently discussed the problem on the basis of an attractive interaction between an ammonium cation and a  $\pi$ -electron system. According to their argument, a polar interaction of Me<sub>3</sub>N<sup>+</sup> in the ligand with the negatively charged surface of the aromatic rings in the protein plays a central role.

Coulombic or polar interactions of similar nature are important. <sup>175</sup> However, we think the above phenomenon can more adequately be accommodated in the context of the  $CH/\pi$  interaction. To be effective, a CH hydrogen needs not necessarily be polarized (see discussions in section 4.2.2). The hydrogens in  $Me_3N^+$  are positively charged as compared to those in normal aliphatic groups and therefore are more prone to  $CH/\pi$  interaction. Approximately 10-fold increase in the complexing ability of  $Me_3N^+H$  from that of  $Me_3COH$  (Table 5) may reflect this.

Hasan *et al.* studied the kinetics of binding of acetylcholine (ACh) analogues such as 3,3-dimethylbutyl acetate, 4-*t*-butylthio-2-butanone, or 3,3-dimethylbutanol. They reported that the above neutral compounds bind as effectively as ACh, to the same subsite of the enzyme, acetylcholine esterase (AChE). This demonstrated that positive charge makes little contribution if any to

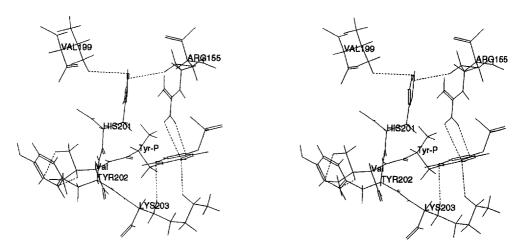


Figure 17. Stereo view of the tyrosine-binding region of a SH2 domain/phosphotyrosyl peptide complex.

the binding. Comparisons of quaternary compounds ( $Me_3N^+$ -R and  $Me_3C$ -R) as ligands with corresponding lower analogues ( $Me_2N^+$ H-R and  $Me_2C$ H-R) showed the former to be more effective than the latter with regard to the binding capabilities to AChE. From this they argued that the stereochemical structures of the ligand were important, on the grounds of the complementarity to the binding site geometry of the enzyme. We think that the binding force involved here can be understood in terms of the  $CH/\pi$  interaction; the number and probability for CHs to be participated in the interaction will have an appreciable effect in stabilizing the structure of the complexes.

Chart 8 shows CH/ $\pi$  interactions around phosphorylcholine in immunoglobulin McPC603.<sup>177</sup> C $\gamma$ , Nɛ1, Cɛ3 and C $\zeta$ 2 in Trp107H (H; heavy chain), and Cɛ1 and C $\zeta$  in Tyr100L (L: light chain) are in fact found to be in contact with the hydrogens of CH<sub>3</sub>N<sup>+</sup>. C $\zeta$ 2 in Trp107H and Cɛ1 in Tyr100L are close to a methylene hydrogen in the ligand.

Sussman *et al.* studied the crystallographic structure of AChE. <sup>178</sup> In a docking study, the Me<sub>3</sub>N<sup>+</sup> group of substrate ACh was put on to the aromatic side-chain of Trp84, which has been known to be important for binding of the substrate. There we see (by CHPI; data not shown) the interaction of ACh with Trp84 to be supported, from the rear side of the indole ring, by Met83 side-chain with the aid of the following CH/ $\pi$  interactions (H $\gamma$ /C $\delta$ 2, H $\gamma$ /C $\delta$ 1 and H $\epsilon$ /C $\zeta$ 2). An aromatic CH in Trp84 (H $\zeta$ 2) has been found to be CH/ $\pi$ -interacted with Tyr442 (C $\zeta$ ) which, in turn, is assumed by interactions with Leu430 (H $\delta$ 2/C $\delta$ 2) and Ile439 (H $\beta$ /C $\delta$ 2). Thus a CH/ $\pi$  network plays a role in stabilizing the enzyme/substrate complex in a flexible manner, certainly in collaboration with other bonding forces.

The active site of AChE was found to lie at the bottom of a deep gorge and a substantial portion of the gorge is lined with many aromatic residues. The antigen-binding region of several immunoglobulin fragments was also reported to have a close-packed cluster of aromatic side-chains. It is tempting to speculate that specific antigens of the antibodies are piloted to the combining site by the  $CH/\pi$  interaction. The mechanism of "aromatic guidance" proposed by Sussman *et al.* may represent an illustration of the  $CH/\pi$  interaction and might thus more adequately be termed as " $CH/\pi$ -piloted pathway."

To summarize, the concept of  $CH/\pi$  interaction will provide a useful and essential means of analysing protein structures. It is probable that interactions of this kind play a role in interactions involving nucleic acids.

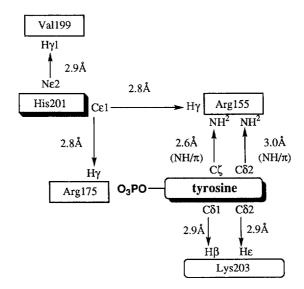


Chart 6.  $CH/\pi$  network around the ligand in a SH2 domain/phosphotyrosyl peptide complex (1SHA).

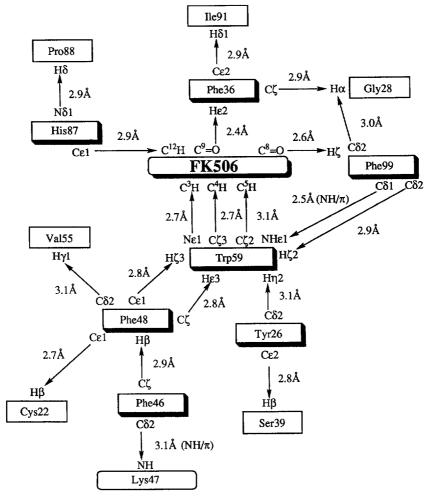


Chart 7.  $CH/\pi$  network around the ligand in FK506-binding protein/FK506 complex (1FKF).

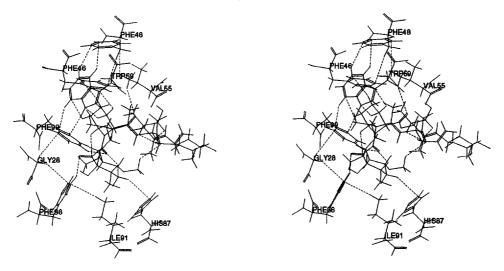
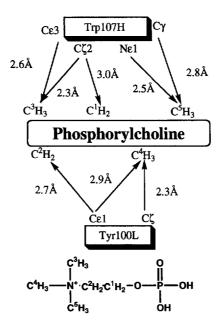


Figure 18. Stereo view of the ligand-binding site of FKBP/FK506 (28) complex. A  $CH/\pi$  network is disclosed around FK506.

### 5. CONCLUSION

On the basis of the foregoing discussions, we conclude that the  $CH/\pi$  interaction plays an important role in molecular recognition, in determining specificities for organic reactions and inclusion complexes, as well as controlling specific functions of biopolymers. Higher order structures of proteins should be considered against this background. Stronger forces such as hydrogen bonding are important, but it is certain that interactions other than the H-bond, occurring between non-polar groups, are very important in maintaining the low-entropy structure of macromolecules.



Phosphorylcholine

Chart 8.  $CH/\pi$  interactions involving the ligand in McPC603/phosphorylcholine complex (2MCP).

Discrimination of the CH/ $\pi$  interaction from the attractive part of the van der Waals force is difficult. A considerable fraction of the CH/ $\pi$  interaction undoubtedly originates from the dispersion force. The latter, on the other hand, constitutes a major part of the van der Waals interaction. However, the van der Waals force is quite an ambiguous concept representing a blend of a variety of non-specific interactions, attractive as well as repulsive. Besides the London dispersion force, the attractive part of the van der Waals force consists of a number of terms from polar interactions, such as charge/dipole, dipole/multipole, multipole/multipole interactions.

As for the  $CH/\pi$  interaction, this represents an extreme case (but abundant in nature) of hydrogen-bonding, which occurs between a soft acid and a soft base. The  $CH/\pi$  interaction obviously includes the dispersion force, however, contribution from charge transfer (or hyperconjugation through the space) is appreciable. Contribution from the Coulombic force, on the other hand, is unimportant. The  $CH/\pi$  interaction, therefore, can play its role in polar media as well as in a non-polar atmosphere, unlike normal H-bonding.

The enthalpy for a one unit  $CH/\pi$  interaction is small. However, groups involved in the  $CH/\pi$  interaction are often engaged simultaneously in interactions with multiple atoms. Furthermore, according to symmetry (e.g. three-fold axial symmetry for  $CH_3$ ), CH groups have a larger chance to be involved in an interaction, as compared to, e.g.  $OH/\pi$  or OH/O hydrogen-bonding, thus giving rise to appreciable effects on the free energy of competitive states in a dynamically interacting molecular system. This point is crucial in understanding the role of weak secondary forces. Examples were given in previous sections by, e.g.  $CH/\pi$  network, aromatic  $CH/\pi$  and lysine  $CH/\pi$  interaction.

The secondary forces involved in specific biopolymer interactions should never be too strong. <sup>181</sup> Instead, in order to assume a rapid recombination of interacting molecules and to be compatible with the living cell, they should be moderately weak and must have a proper orientation dependence. In view of this, the CH/ $\pi$  interaction, among others, represents a most general and effective one. Interactions such as OH/ $\pi$  (see Refs 34–37), NH/ $\pi$ , <sup>182–184</sup> CH/ $\pi$  (n = lone pair: CH/O, CH/N etc.; see Ref. 10b) <sup>185–194</sup> and those involving multipoles <sup>195</sup> are important as well in understanding the behaviour of biomolecules. Our knowledge about weak chemical interactions is still far from complete.

Acknowledgements—We wish to express our sincere gratitude to all of the colleagues who supported the work described in this Report. We also thank Dr Kazuaki Harata (National Institute of Biosciences and Human-Technology) for discussions and crystallographic data of cyclodextrin complexes, Dr Shinichi Kondo (Institute of Microbial Chemistry), Professors Naoya Nakagawa (Tokyo Univ.) of Electro-communications), Eiji Osawa (Toyohashi Univ. of Technology), Yumihiko Yano (Gumma Univ.), Shigeo Iwasaki (Tokyo Univ.), Hisashi Okawa (Kyushu Univ.) and Takayuki Shioiri (Nagoya City Univ.) for useful discussions. We are indebted to Professors Herbert A. Donovan III (Rikkyo Univ.) and William B. Motherwell (University College London) for critical reading of the manuscript. We thank also Mr Akira Okada (Meiji Seika Kaisha) and Dr Tomio Takeuchi (Institute of Microbial Chemistry) for encouragement.

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